

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person* <b>HATSOPOULOS JOHN</b>  (Last) (First) (Middle) <b>45 FIRST AVENUE</b>  (Street) <b>WALTHAM, MA 02451</b>  (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <b>03/11/2022</b>	3. Issuer Name and Ticker or Trading Symbol <b>TECOGEN INC. [TGEN]</b>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)	5. If Amendment, Date Original Filed (Month/Day/Year) <b>03/11/2022</b>
		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	239,863	D	
Common Stock	75,562 <sup>(1)</sup>	I	See footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (Right to Buy)	05/12/2016 <sup>(2)</sup>	05/12/2026	Common Stock	12,723	\$ 3.93	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HATSOPOULOS JOHN 45 FIRST AVENUE WALTHAM, MA 02451	X			

## Signatures

/s/ John N. Hatsopoulos	05/06/2022
<small>*Signature of Reporting Person</small>	<small>Date</small>

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes: (1) 44,012 shares held by Pat, Ltd. of which Mr. Hatsopoulos is a shareholder together with his wife Patricia Hatsopoulos, (2) 28,225 shares held by Mrs.

(1) Hatsopoulos, and (3) 3,325 shares held in an individual retirement account for Mrs. Hatsopoulos. Excludes, and Mr. Hatsopoulos disclaims beneficial ownership of, shares held by the following irrevocable trusts: (1) the John N. Hatsopoulos Trust 2007, of which Mr. Yiannis Monovoukas is the trustee, the John Hatsopoulos 1989 Family Trust, of which Mrs. Ann Marie Pacheco is the trustee, and (3) the Nia M. Hatsopoulos 2011 Irrevocable Trust of which Mrs. Ann Marie Pacheco is the trustee.

(2) Converted from options to acquire shares of American DG Energy Inc.; vested in connection with merger of American DG Energy Inc. with a subsidiary of Tecogen Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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