

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | |
|--|---|--|--|
| 1. Name and Address of Reporting Person* Whiting John Kimball IV (Last) (First) (Middle) 45 FIRST AVE. (Street) WALTHAM, MA 02451 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 03/11/2022 | 3. Issuer Name and Ticker or Trading Symbol TECOGEN INC. [TGEN] | |
| | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) General Counsel & Secretary | 5. If Amendment, Date Original Filed(Month/Day/Year) 03/11/2022 |
| | | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 636 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|-----------------|---|----------------------------|--|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Option (Right to Buy) | 01/16/2019 ⁽¹⁾ | 01/16/2028 | Common Stock | 10,000 | \$ 2.5 | D | |
| Stock Option (Right to Buy) | 12/11/2019 ⁽¹⁾ | 12/11/2028 | Common Stock | 50,000 | \$ 3.8 | D | |
| Stock Option (Right to Buy) | 06/11/2020 ⁽¹⁾ | 06/11/2029 | Common Stock | 50,000 | \$ 3.76 | D | |
| Stock Option (Right to Buy) | ⁽²⁾ | 07/09/2030 | Common Stock | 200,000 | \$ 0.71 | D | |
| Stock Option (Right to Buy) | 01/21/2023 ⁽³⁾ | 01/21/2032 | Common Stock | 52,500 | \$ 1.1 | D | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Whiting John Kimball IV 45 FIRST AVE. WALTHAM, MA 02451 | | | General Counsel & Secretary | |

Signatures

| | |
|--|------------|
| John K. Whiting, IV | 05/06/2022 |
| <small>**Signature of Reporting Person</small> | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vests 25% per year

(2) Vests 50% upon achievement of Adjusted EBITDA goal in two consecutive quarters, and 50% upon achievement of Adjusted EBITDA goal in four consecutive quarters

(3) Vests 50% per year

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.