| SEC | Form | 4 |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5 obligations<br>may continue. See Instruction 1(b). |
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Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 10b5-1(c). See In  | struction 10. |          |   |  |  |  |  |
|--|---------------|----------|---|--|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>LEWIS EARL R             |               |          | 2. Issuer Name and Ticker or Trading Symbol<br><u>TECOGEN INC.</u> [ TGEN ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |  |  |
| (Last)   | (First)       | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>10/18/2023              | X Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                        |  |  |  |
| 45 FIRST AVE   |               |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                    | 6. Individual or Joint/Group Filing (Check Applicable Line)  |  |  |  |
| (Street)<br>WALTHAM  | MA            | 02451    |   | X Form filed by One Reporting Person<br>Form filed by More than One Reporting Person               |  |  |  |
| (City)   | (State)       | (Zip)    |   |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |               |          |   |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |            |
|---------------------------------|--|---|-----------------------------|---|--|---------------|--|---|-------------------------|------------|
|                                 |  |   | Code                        | v | Amount   | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)                                |                         | (Instr. 4) |
| Common Stock                    | 10/18/2023                                 |   | Р                           |   | 25,000   | Α             | \$0.91   | 541,683   | D                       |            |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 4 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 10. 11. Nature Date Derivative Conversion Execution Date Transaction Derivative Expiration Date Securities Underlying Derivative derivative Ownership of Indirect if any (Month/Day/Year) Security (Instr. 3) or Exercise Price of (Month/Dav/Year (Month/Dav/Year) **Derivative Security** Code (Instr. Securities Security Securities Form: Beneficial 8) Acquired (A) (Instr. 3 and 4) (Instr. 5) Beneficially Direct (D) Ownership Owned Following Derivative or Disposed of (D) (Instr. 3, 4 or Indirect (I) (Instr. 4) (Instr. 4) Security and 5) Reported Transaction(s) (Instr. 4) Amount or Date Expiration Number v (A) (D) Title Code Exercisable Date of Shares

Explanation of Responses:

Remarks:



\*\* Signature of Reporting Person

10/18/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL